

## Policy on allotment of trading terminals

Policy reviewed on 04-03-2023 by Ajay Gangwal (Designated Director)

- 1. Siddhartha Commodities Pvt.Ltd. shall allot trading terminals to its authorized Persons / employees only.
- 2. While issuing the terminals it shall ensure that the user is certified for the same.
- 3. It shall allot any trading terminal outside its offices to its Branch/Authorised Persons only.
- 4. To avoid use of trading terminals after expiry of said NCFM/NISM it shall maintain a register which will be fortnightly reviewed.
- 5. A three-month communication in advance shall be given to the respective certificate holder so that he may reappear and clear the ncfm/nism.

## Surrender of trading terminals

- 1. IF any employee resigned from his job, then company will surrender the trading terminal which was issued with his certificate.
- 2. If any branch has been closed or any AP is surrendered his membership then all trading terminals issued on the branch address or AP's address will be canceled.
- 3. If any employee failed to renew his certificate, then the trading terminal will be surrendered.
- 4. Trading terminals will be used for only trading. Company will not issue any terminal for view only purpose.